# The 10<sup>th</sup> Non-LTE Code Comparison Workshop

November 28 – December 1, 2017

San Diego, CA, USA

## **Submission of Calculations**

This document is intended to define the particulars of the workshop submissions. In the sections below we will define the case problems, the comparison quantities which we require and the detailed format of the data files that we will be expecting. To most directly compare kinetics codes, most cases are completely defined by a specification of the electron temperature, electron density, and (possibly) a radiation field. These cases are zero-dimensional and do not admit the possibility of plasma non-uniformity or boundary effects. For cases with a non-zero plasma size, the specified electron density is the spatially-averaged value (appropriate for use in a zero-dimensional calculation). In all cases, we assume that the plasma is electrically neutral, with the electron density and ion density connected through the condition of charge neutrality. There is no consideration of heavy-particle interactions.

The webpage of the meeting is at <u>http://nlte.nist.gov/NLTE10</u>. To reduce the server load and accelerate the upload, it would be most convenient if the contributor(s) created an archive file containing all the individual result files. Submissions employing any modern data compression techniques (e.g., zip/gzip/bzip2/arj/lha/rar) along with the Unix tar archiving utility will be accepted. The submission files should have the name of the codes and the contributor as the parts of the file names (e.g., nomad\_ralchenko\_case1.tgz). Please contact Yuri Ralchenko (email: yuri.ralchenko@nist.gov) when new files are to be uploaded.

#### **<u>Timeline:</u>**

- 1. November 8 submission deadline
- 2. November 21 online database available
- 3. November 28 workshop opens
- 4. December 1 workshop adjourns

### I. STATEMENT OF CASES

We have selected several elements for cases that touch recent experiments. Steady-state cases are specified by plasma temperature, density, and (possibly) a radiation field and plasma size. Ion temperatures should be taken as identical to electron temperatures. Time-dependent cases have additional information in a supplemental file and further details for all cases are given below. In the following, temperatures and energies are given in eV, particle densities in cm<sup>-3</sup>, and times in seconds.

Element	CaseID	# cases	Parameter	Grid		
<u>Chlorine</u>	Cl	9	Te	400, 500, 600		
			Ne	<b>10<sup>21</sup>, 10<sup>22</sup>, 10<sup>23</sup></b>		3
			Emission	Range (eV)	<b>Resolution (eV)</b>	# of points
			Spectrum	2600-3800	$\Delta \varepsilon = 0.15$	8001
<u>Silicon</u>	Si	12	Te	30		
			Ne	10 <sup>19</sup> , 3x10 <sup>19</sup>		
			Trad	<b>63 (1.0), [48 (0.28) + 92 (0.081) + 170 (0.0067)]</b>		
			Length (cm)	0.1, 0.3, 1.2		
			Emission	Range (eV)	<b>Resolution</b> (eV)	# of points
			Spectrum	1700-2500	$\Delta \varepsilon = 0.25$	3201
<u>Aluminum</u>	Al	8	Te	10, 30, 100, 300		
			Ne	2x10 <sup>23</sup> , 5x10 <sup>23</sup>		
			Emission	Range (eV)	<b>Resolution</b> (eV)	# of points
			Spectrum	1400-2400	$\Delta \varepsilon = 0.5$	2001
<u>Aluminum</u>	AlTD	2	Te	$T_e(t)$ given for 2 cases in supplemental file		oplemental file
			Ne	$N_e(t) = Z^*(t) \times N_i$ with $N_i = 6 \times 10^{22}$		
			Erad	1580, 1650		
			Emission	Range (eV)	<b>Resolution</b> (eV)	# of points
			Spectrum	1400-2400	$\Delta \varepsilon = 0.5$	2001
<u>Neon</u>	Ne	12	Te	50, 100, 200, 500		00
			Ne	$10^{19}, 10^{20}, 10^{21}$		1
			Emission	Range (eV)	<b>Resolution</b> (eV)	# of points
			Spectrum	800-1400	$\Delta \varepsilon = 0.3$	2001
<u>Neon</u>	NeTD	3	Te	T <sub>e</sub> (t) given for 3 cases in supplemental file		oplemental file
			Ne	$N_e(t) = Z^*(t) \ge N_i$ with $N_i = 10^{18}$		$N_i = 10^{18}$
			Erad	800, 1050, 2000		0
			Emission	Range (eV)	<b>Resolution</b> (eV)	# of points
			Spectrum	800-1400	$\Delta \varepsilon = 0.3$	2001

#### **II. JUSTIFICATION OF CASES AND DETAILS**

Each calculation shown in the preceding table will be referenced by a case name, which is to be given in the submission data file (described further below). The case name is constructed by appending a suffix to the Case\_ID from the table. The suffix consists of between one and three digits. Steady-state Cl, Al, and Ne will have two digits, the first corresponding to the electron temperature and the second to the electron density. Steady-state Si will have four digits corresponding consecutively to temperature, density, radiation field, and length. Finally, the time-dependent cases will have a single digit corresponding to the given XFEL energy, intensity, and temperature evolution. Thus the Cl case with  $T_e = 500 \text{ eV}$  and  $N_e = 10^{23} \text{ cm}^{-3}$  will be referred to as **Cl23** and the time-dependent Ne case with  $E_{rad} = 1050 \text{ eV}$  will be referred to as **NeTD2**.

The submission files should be named as **<case>.<contributor\_name>.<code\_name>**, so that Dr. A. Einstein's calculations with his code GToE for one of the cases would be in the file fe2311.einstein.gtoe (case insensitive).

#### 1. Steady-state Cl, Al, and Ne

These cases aim to explore the collisional-radiative kinetics and the K-shell emission of elements over a wide range of densities, exploring density effects such as intercombination intensities, line broadening, continuum lowering, and density effects; we ask that participant use best-effort model representations of these effects. The Al and Ne cases also serve as a baseline for the time-dependent cases described below. Model variations are particularly welcome for the Al cases, where strong coupling and degeneracy effects compete with thermal processes and models are sensitive to differences in continuum lowering and inclusion of Pauli blocking in the rate integration. Calculated Cl spectra can be compared with recent high-resolution measurements from OHREX spectrometer fielded at the Orion facility. While we have specified a fixed temperature and density grid to explore model variations, we also invite participants to fit the experimental Cl spectra given in Fig. 5 (bottom panel) of Beiersdorfer *et al.*, *Phys Plas.* 23, 101211 (2016) in submissions designated as **CIF**.

#### 2. Steady-state photoionized Si

These cases are designed to assess model variations for astrophysically relevant plasma conditions whose ionization is dominated by radiative rather than collisional excitation and ionization. The external radiation field is characterized by either a single Planckian at  $T_{rad} = 63$  eV with a dilution factor of 1.0 or by a sum of three Planckians at the temperatures specified in the table (48, 92, and 170 eV) with dilution factors of 0.28, 0.081, and 0.0067, respectively. The multiple plasma lengths are designed to explore model predictions relevant to Resonant Auger Destruction, a proposed mechanism by which K-shell satellite lines from L-shell ions are suppressed as they are transported due to dominant Auger decay rates that inhibit direct reemission following resonant absorption. The specified lengths represent the smallest dimension of a semi-infinite slab. The intensity units requested for the spectra output are thus those of emergent intensity (J/s/cm<sup>2</sup>/eV) rather than volume emissivity. The requested spectra can

be compared with the high-resolution measurements described in Loisel et al., Phys Rev Lett. (2017).

#### 4. Time-dependent Al and Ne

These cases revisit with more realistic initial conditions and temporal dependence the interesting time-dependent AR case we explored in NLTE-7 as relevant to X-ray free-electron laser (XFELs) experiments. The Al case is relevant to the XFEL experiment described in Ciricosta et al., Phys Rev Lett. 109 065002 (2012) and follow-on publications, where time-integrated fluorescence emission indicated a basic inadequacy of standard Stewart-Pyatt continuum lowering models. The Ne case is at much lower density and is relevant to the multi-step ionization explored by Young et al., Nature 466, 56 (2010). For both elements, the initial conditions should be calculated at the ion density given in the table with no radiation field and Te = 1 eV. The temperature evolution is specified in the supplemental files; please use the given time steps to change conditions and set the electron density to follow the calculated ionization at the fixed ion density. Depending on your code's preferred input, the XFEL intensity for each E<sub>rad</sub> case can be set using either the bandwidth-integrated flux (W/cm<sup>2</sup>) evenly distributed over the given bandwidth or by the specific intensity (J/cm<sup>2</sup>/s/Hz/ster) for each photon energy bin within the given bandwidth. Please center the x-ray energy at E<sub>rad</sub>. The time-dependence of the XFEL pulse is a flat-top profile: zero at t = 0 and for t > d and equal to the given intensity for  $0 < t \le d$ , where d is the given duration. Population output is requested for the initial conditions and at every 10<sup>th</sup> step (total of 12 points for Ne and 6 points for Al). The spectra output should be integrated over the whole time of the calculation and reported at the end of the case file. For the AITD cases we especially welcome calculations with various treatments of continuum lowering and rate integration.

#### **III. SUBMISSION FILE DESCRIPTION**

We are asking for a fairly large amount of information. To simplify the specification for the contributors we have adopted a keyword approach within the submissions file. In this approach, all quantities are space delimited. In Section IV, we give a schematic of the file format. For clarity we will use the courier font to indicate the keywords (the actual submissions should be unformatted plain ASCII text). The user-supplied data that are problem-dependent are indicated by a **bold-face** parameter name in brackets (e.g., **<pop\_frac>**). We anticipate that not all information will be provided by every user. However, since the information is space delimited, and not fixed in a particular column, then *some* value must be given for each field. The best default value is to put a zero. The longer records, such as the ion and elev lines, may continue over several lines at the contributor's discretion. Do not break a line in the middle of a keyword or a number. Blank lines may be used anywhere within the file to make the text more readable. While some of the names we use suggest integer quantities, please use decimal values if appropriate to your calculation. For floating point numbers, an **ell.4** format is generally

adequate although for level energies a high accuracy may be necessary. The exact definitions of the quantities requested, including units, are given in Section V.

The submissions file is structured in 5 sections. These sections are identified by keywords. In some cases, an integer follows the section keyword to indicate the number of records which follow for that section. Some codes will not be able to provide information for every section. Thus, an entire section may be omitted. If all information is provided, then there will be a certain amount of redundancy. This redundancy is intentional and has at least two uses. First, it can be used to detect errors in the file formatting. Second, it is often possible to compute overall quantities more accurately internal to the kinetics code than by post-processing the results.

The *initial section* provides general problem identification information. This section begins with the keyword data.

The *second section* gives overall quantities describing the plasma population and energy distribution. This section is signaled by the keyword <code>summary\_quantities</code>. Note there are no spaces in the keywords.

The *third section* gives information by ionization stage. This section is signaled by the keyword ion\_stages. Within this section, information for each ionization stage begins with the keyword ion. As mentioned above, multiple lines may be used if desired (we intentionally used multiple lines in the schematic file listing below to improve its readability). Important note: we use **<Nbound>**, the number of bound electrons, *not* the ion stage charge, to label the ion stages.

The *fourth section* gives information by energy level (keyword energy\_levels). Since many codes employ some form of continuum lowering and/or moving calculational windows, we require that energy level definitions be provided for every case. The shell occupation numbers (**<occK>**, **<occL>** etc.) as defined for each elev record will be used to compare codes for the cross-over from a ladder-like de-excitation regime to one which is in Saha-Boltzmann equilibrium with the continuum.

Finally, the *fifth section* contains calculated spectral characteristics.

A relational database tool will be used to manage the data during the course of the workshop.

If necessary, additional clarification regarding the submission format will be provided at the Workshop's web site.

## IV. SUBMISSION FILE FORMAT

1	411001 00000000000000000000000000000000	4 5
data	<user comment<="" td=""><td>l &gt;</td></user>	l >
case	<case_id></case_id>	
code	<name></name>	
atom	<name> <znue< td=""><td></td></znue<></name>	
calctime	<cpu> <huma< td=""><td>an&gt;</td></huma<></cpu>	an>
summary_quantities		
plasma	<te> <ne></ne></te>	
time	<time></time>	
zbar	<zbar></zbar>	
m2	<2nd central m	
m3	<3rd central m	
eint	<internal_energ< td=""><td>gy&gt;</td></internal_energ<>	gy>
deintdt	<deint dte=""></deint>	
pfn	<partition_fn></partition_fn>	
nmax_eff	<n_value></n_value>	
ploss	$<\mathbf{P}_{bb}> <\mathbf{P}_{bf}> <$	$P_{\rm ff} > \langle P_{\rm total} \rangle$
ion_stages	<count></count>	
ion		<nbound> <pop_frac> <nouter></nouter></pop_frac></nbound>
		Stot> <f scoll=""> <f sphoto=""> <f sauto=""></f></f></f>
		$\alpha_{tot} > \langle \alpha_{coll} \rangle \langle \alpha_{photo} \rangle \langle \alpha_{auto} \rangle$
ion		N <sub>bound</sub> > <pop_frac> <nouter></nouter></pop_frac>
1011		(Stobound) < pop_inac/ <noticity (Stot&gt; <f_scoll> <f_sphoto> <f_sauto></f_sauto></f_sphoto></f_scoll></noticity 
		$\alpha_{tot} < f_{\alpha_{col}} < f_{\alpha_{photo}} < f_{\alpha_{auto}}$
	<	wor <1_wcon <1_wpnoto <1_wauto
energy_levels	<count></count>	
elev		<nbound> <level> <stwt> <energy> <population></population></energy></stwt></level></nbound>
		$\Gamma_{tot} > \langle f_{\Gamma_{collbb}} > \langle f_{\Gamma_{photobb}} > \langle f_{\Gamma_{collbf}} > \langle f_{\Gamma_{photobb}} > \langle f_{\Gamma_{auto}} > \langle$
		:Otot> <f_ocollbb> <f_ophotobb> <f_ocollbf> <f_ophotobb> <f_ocollbf> <f_ophotobf> <f_oauto></f_oauto></f_ophotobf></f_ocollbf></f_ophotobb></f_ocollbf></f_ophotobb></f_ocollbb>
		<pre>coccK&gt; <occm> <nouter></nouter></occm></pre>
•••		
elev	<	<pre>Nbound&gt; <level> <stwt> <energy> <population></population></energy></stwt></level></pre>
		$<\Gamma_{tot}>     $
		$   _{Cont} < f_{Ocollbb} > < f_{Ocollbb} > < f_{Ocollbf} > <$
		<pre>coccK&gt; <occm> <nouter></nouter></occm></pre>

The text that follows is a schematic of a submissions file:

### Spectrum Output

For the cases where we request spectra, the spectral information will be given in this same text file, following the information above. Note that some spectra are requested on an energy grid and some on a wavelength grid. Using wavelength as a sample, the spectrum will be in the format:

spectrum	<case></case>	<count<sub>1&gt;</count<sub>		
<energy1> <energy2></energy2></energy1>	<ε <sub>bb</sub> 1> <ε <sub>bb</sub> 2>	<ε <sub>bf</sub> 1> <ε <sub>bf</sub> 2>	<ε <sub>ff</sub> 1> <ε <sub>ff</sub> 2>	<e<sub>tot1&gt; &lt;<sub>Etot</sub>2&gt;</e<sub>
<energyn></energyn>	< <b>ε</b> ььN>	<ebfn></ebfn>	<entn></entn>	< EtotN>

where energies are in eV and emission spectra  $\varepsilon$  for bound-bound (bb), bound-free (bf), and free-free (ff) transitions are in J/s/cm<sup>3</sup>/eV for steady-state Cl, Al, and Ne, J/s/cm<sup>2</sup>/eV for Si, and J/cm<sup>3</sup>/eV for time-dependent Ne and Al.

#### V. DEFINITIONS OF REQUESTED QUANTITIES

Before proceeding to a detailed description of the requested quantities, we would like to comment on the ion density. In absence of heavy-particle interactions, the influence of ion density would mostly be exposed through the ionization potential lowering. To provide a description of  $N_i$ , for all cases the electron and ion densities are to be related via the plasma neutrality condition, i.e.,  $N_i = N_e/Z$ .

In *section 1*, the identification section, the following quantities are requested:

data	Calculation identifier and user comment line. Comment should be limited to this one line only and should include the contributor's name, institution, the version of the code, and the date at which calculation was run. This can be invaluable in maintaining order in large number of submissions.
case	All calculations will have a case identification of the form Cr23 or the like (see Section III). These identifiers are assigned in the section below where the specific calculations are called out.
code	An identifier for each contributor's code which may be chosen by the contributors. For convenience in post-processing and tabulation the names should not be excessively long. The names will be used in all tables and graphs of comparisons, and must be the same from case to case.
atom	Identifies the atom under study. The field <b><name></name></b> is a convenience for the contributor. In many cases, calculations are driven by atomic data found in a file. The file <b><name></name></b> may be used to specify that name. The field <b><znuc></znuc></b> is the nuclear charge of the atom.
calctime	Provides information on the CPU time (computer) and total time (human) spent on calculation of this particular case.

In section 2, the summary\_quantities section, the following items are requested:

- plasma This record specifies the plasma conditions used in this calculation. The electron temperature is in units of eV. The electron density is in units of cm-3.
- time A value (e.g., zero) for steady-state cases.
- zbar Average charge of the plasma.
- m2 Second central moment of the charge state distribution.
- m3 Third central moment of the charge state distribution.

- eint Specific internal energy of the atom.
- pfn Partition function of the atom.
- nmax\_eff For this calculation, the principal quantum number of the outermost electron in any bound state. We will be interested in sensitivity of comparison quantities to the highest bound states accounted for by the model. This quantity will also be used as a measure of continuum lowering.
- ploss The radiative power losses: bound-bound, bound-free, free-free, and total. Units: J/sec/cm<sup>3</sup>.

The central moments are defined as:

$$m_N = \sum_j y_j (q_j - \overline{Z})^N,$$

where  $y_j$  is the fractional population of ion stage j,  $q_j$  is the ion charge, and Z is the average charge.

The **specific internal energy** is the sum of level populations,  $n_j$ , multiplied by their energy value,  $E_j$ , divided by the total ion density  $N_i$ :

$$E_{\rm int} = \sum_j \frac{E_j n_j}{N_i} \ .$$

The energy reference is the ground state of the neutral atom. We recognize that a kinetics model may not include all ionization stages of the atom – the ground state of the most neutral ion is the most reasonable substitute. For intercomparisons, this quantity will likely need zero point shifts. Units are eV/atom.

The **specific heat** is the derivative with respect to electron temperature of the specific internal energy of the atom. Units are eV/atom/eV. If computed by finite difference, the step size is to be chosen by the contributor.

The **partition function** is defined as the classical partition function:

$$Q = \sum_{j} g_{j} \exp\left(-E_{j}/T_{e}\right),$$

where  $g_j$  is the statistical weight of level *j* and  $E_j$  is the energy of the level, with respect to the ground state of the most neutral ion.

The total **power loss** is the most important quantity, so that if one has difficulties separating different contributions, then it would suffice to have zeros in fields other than  $\langle P_{total} \rangle$ .

Note that many of the "thermodynamic" quantities are intentionally sensitive to continuum lowering models. Quantities possibly affected are **<eint>** and **<pfn>**. If your continuum lowering model alters the energy levels or statistical weights, please include these effects in the appropriate "thermodynamic" quantities.

High-lying bound states can be included in the population kinetics in a variety of ways. The field  $nmax\_eff$  is intended to give information on the highest-lying bound state, which is affecting the calculation of the populations. It is thus an "effective" principal quantum number. If a code includes a level, which accounts for more than one *n* value, then for this field we recommend giving the *largest* value that is being modeled.

In section 3, the ion\_stages section, the following quantities are requested:

<nbound></nbound>	The number of bound electrons in this ionization stage.					
<pop_frac></pop_frac>	The fraction of atoms in this ionization stage. Sum over all ions should be					
	normalized to unity.					
<nouter></nouter>	The principal quantum number of the outermost electron for any state in this ion					
	stage.					
<stot></stot>	The total (effective) ionization rate out of this ion stage, averaged over all initial states in this ion stage (weighted by the fractional populations of the initial states), and summed over all final states. This quantity is further summed over all ionization processes.					
<f_scoll></f_scoll>	The fractional contribution of electron collisional ionization processes to $\langle S_{tot} \rangle$ .					
<f_sphoto></f_sphoto>	The fractional contribution of photo-ionization processes to $S_{tot}$					
<f_sauto></f_sauto>	The fractional contribution of auto-ionization processes to $\langle S_{tot} \rangle$ .					
< <b>α</b> <sub>tot</sub> >	The total (effective) recombination rate out of this ion stage, averaged over all initial states in this ion stage (weighted by the fractional populations of the initial states), and summed over all final states. This quantity is further summed over all recombination processes.					
$< f_{\alpha coll} >$	The fractional contribution of three-body recombination to the total $< \alpha_{tot} >$ .					
$< f_{\alpha_{photo}} >$	The fractional contribution of radiative-recombination to the total $\langle \mathbf{Q}_{tot} \rangle$ .					
<f_qauto></f_qauto>	The fractional contribution of dielectronic capture processes to the total $< \alpha_{tot} >$ .					

We note that the total effective ionization and recombination rates are rates, and not rate coefficients. It is also important to be precise about the direction of these total rates.  $\langle S_{tot} \rangle$  is the total effective rate out of the indicated ion into the more ionized ion. Similarly,  $\langle \alpha_{tot} \rangle$  is the total effective rate out of the indicated ion into the less ionized ion.

The definitions of  $S_{tot}$  and  $\alpha_{tot}$  are best clarified through an example. Consider a three-ion stage problem consisting of levels in Li-like, He-like, and H-like ions. For the He-like ion,  $S_{tot}$  is the

sum of all ionization rates *out* of He-like, weighted by the appropriate He-like initial state populations, and summed over all final states in the H-like ion. The averaging over initial states is completed by dividing the above sum by the total population of the He-like ion.  $\alpha_{tot}$  for the He-like ion is the sum of all recombination rates out of He-like, weighted by the appropriate Helike initial state populations, and summed over all final states in the Li-like ion. The averaging over initial states is completed by dividing the above sum by the total population of the He-like ion. With these definitions, we can define a set of ionization rate equations. In the case of the He-like ion, we write:

$$\frac{dn(He)}{dt} = \alpha_{tot}(H)n(H) - \left[\alpha_{tot}(He) + S_{tot}(He)\right]n(He) + S_{tot}(Li)n(Li).$$

Units of  $\langle S_{tot} \rangle$  and  $\langle \alpha_{tot} \rangle$  are 1/sec.

In section 4, the energy\_levels section, the following quantities are requested:

<nbound></nbound>	Identifies the ionization stage to which this energy level belongs. As always, this quantity is the number of bound electrons in the level.
<level></level>	A sequential level number within this ionization stage. This index begins at 1 within each ionization stage for use as a label in model comparisons. The ground state of each ion stage will be identified by locating the state of lowest energy within the ion stage.
<stwt></stwt>	The statistical weight of this energy level.
<energy></energy>	The energy of the level relative to the overall model. Ionization potentials will be obtained by subtraction of successive ground state energies. Units are in eV. The overall energy reference is the ground state of the most neutral ion in the problem.
<population></population>	The <b>normalized</b> ion density of this level. <i>Sum of all level populations over all ions is unity</i> .
$<\Gamma_{tot}>$	The total population flux out of this level. Units are 1/sec.
<f_\_collbb></f_\_collbb>	The <i>fractional</i> contribution of electron collision excitation/de-excitation
	processes to $<\Gamma_{tot}>$ .
<f_\[< th=""><th>processes to <math>&lt;\Gamma_{tot}&gt;</math>. The <i>fractional</i> contribution of bound-bound radiation processes to <math>&lt;\Gamma_{tot}&gt;</math>.</th></f_\[<>	processes to $<\Gamma_{tot}>$ . The <i>fractional</i> contribution of bound-bound radiation processes to $<\Gamma_{tot}>$ .
$< f_\Gamma_{photoBB} > < f_\Gamma_{collBF} >$	•
-	The <i>fractional</i> contribution of bound-bound radiation processes to $<\Gamma_{tot}>$ . The <i>fractional</i> contribution of electron collision ionization-recombination
<f_\[\gamma_collbf></f_\[\gamma_collbf>	The <i>fractional</i> contribution of bound-bound radiation processes to $<\Gamma_{tot}>$ . The <i>fractional</i> contribution of electron collision ionization-recombination processes to $<\Gamma_{tot}>$ .

$< f_\Theta_{collBB} >$	The <i>fractional</i> contribution of electron collision excitation/de-excitation
	processes to $\langle \Theta_{tot} \rangle$ .
$< f_\Theta_{photoBB} >$	The <i>fractional</i> contribution of bound-bound radiation processes to $\langle \Theta_{tot} \rangle$ .
<f_ocollbf></f_ocollbf>	The <i>fractional</i> contribution of electron collision ionization-recombination processes to $\langle \Theta_{tot} \rangle$ .
$< f_\Theta_{photoBF} >$	The <i>fractional</i> contribution of photo-ionization-recombination to $\langle \Theta_{tot} \rangle$ .
<f_@auto></f_@auto>	The <i>fractional</i> contribution of auto-ionization/dielectronic recombination processes to $\langle \Theta_{tot} \rangle$ .
<occk></occk>	Occupation number: for this energy level, the number of electrons in the K shell. Users of configuration interaction codes might wish to use the dominant configuration to assign this value.
<occl></occl>	The number of electrons in the L shell.
<nouter></nouter>	The principal quantum number of the outermost electron in that energy level.

Parameter  $\Gamma$  describes all processes originating from a particular level while  $\Theta$  describes all processes ending on this level. The population flux is defined as a product of the population by the corresponding rate, so that, for instance, the total  $\Theta$  for a level *i* is:

$$\Theta_i = \sum_j POP_j \times R_{ij}$$

where  $POP_j$  is the population of level *j* and  $R_{ij}$  is the rate of a physical process originating in level *j* and ending in level *i* (e.g., probability for a radiative transition from the upper level *j* into the lower level *i*).

The shell occupation numbers (**<occk>**, **<occL>**, etc.) could be variable in number for each code, plasma condition, and energy level. Contributors are not constrained on this point: they may specify as many shells as necessary, and as relevant to their calculational approach. The final entry for this energy level record should be the principal quantum number of the outermost electron in that level. In the case of highly-excited levels, the shell occupation numbers may be simplified by only specifying the core, **<Nbound>**-1, electrons. In this case the field **<nouter>** will be used to set the location of the remaining electron. We will be using the values given in this section to compute some of the quantities given in section 2 for consistency checks.

In section 5, the spectrum section, the data requested are summarized below:

<u>CI, AI, Ne</u>		
	x-axis:	energy (in eV),
	spectrum units:	J/s/cm <sup>3</sup> /eV;
Si		
<u>oi</u>	x-axis:	energy (in eV),
	spectrum units:	J/s/cm <sup>2</sup> /eV;
	-	
<u>AITD, NeTD</u>		
	x-axis:	energy (in eV),
	spectrum units:	J/cm <sup>3</sup> /eV;

The spectrum for the present optically thin cases is requested per unit volume. The spectrum for the photoionized Si plasma is requested per unit area for a line of sight normal to the surface of a semi-infinite slab with the given length/thickness. The spectrum for the time-dependent cases is the time-integral of emission over the whole calculation.

The required data are bound-bound  $\langle \epsilon_{bb} \rangle$ , free-bound  $\langle \epsilon_{fb} \rangle$ , free-free  $\langle \epsilon_{ff} \rangle$  and total  $\langle \epsilon_{tot} \rangle$  spectra. The field  $\langle count \rangle$  specifies the number of *(wavelength, spectra)* rows which follow.

The line broadening should be best-effort, especially for the Cl and Al cases, including at least Doppler + natural broadening but also (if possible) collisional and Stark effects.

Example of a spectrum file:

spectrum 2600.00 2600.15	Cl23 1.000e-15 2.000e-15	8001 1.000e-16 2.000e-16	2.500e-15 5.000e-15	3.600e-15 7.200e-15
3800.00	1.000e-15	1.000e-16	2.500e-15	3.600e-15