

The 11th Non-LTE Code Comparison Workshop

November 4 – November 7, 2019

Las Palmas de Gran Canaria
Canary Islands, Spain

Submission of Calculations

This document is intended to define the particulars of the workshop submissions. In the sections below we will define the case problems, the comparison quantities which we require and the detailed format of the data files that we will be expecting. To most directly compare kinetics codes, most cases are completely defined by a specification of the electron temperature, electron density, and (possibly) a radiation field. These cases are zero-dimensional and do not admit the possibility of plasma non-uniformity or boundary effects. For cases with a non-zero plasma size, the specified electron density is the spatially-averaged value (appropriate for use in a zero-dimensional calculation). In all cases, we assume that the plasma is electrically neutral, with the electron density and ion density connected through the condition of charge neutrality. There is no consideration of heavy-particle interactions.

The webpage of the meeting is at <http://nlte.nist.gov/NLTE11>. Please contact Yuri Ralchenko (email: yuri.ralchenko@nist.gov) for instructions on file uploads. To reduce the server load and accelerate the upload, it would be most convenient if the contributor(s) created an archive file containing all the individual result files. Submissions employing any modern data compression techniques (e.g., zip/gzip/bzip2/arj/lha/rar) along with the Unix tar archiving utility will be accepted. The submission files should have the name of the codes and the contributor as the parts of the file names (see Page 3).

Timeline:

1. **October 23** – **submission deadline**
2. October 28 – online database available
3. November 4 – workshop opens
4. November 7 – workshop adjourns

I. STATEMENT OF CASES

We have selected several elements for cases that touch recent experiments. Steady-state cases are specified by plasma temperature, density, and (possibly) plasma size. Ion temperatures should be taken as identical to electron temperatures. Time-dependent cases have additional information in a supplemental file and further details for all cases are given below. In the following, temperatures and energies are given in eV, particle densities in cm^{-3} , and times in seconds.

Element	Case ID	Number of cases	Parameter	Grid		
<u>Germanium</u>	Ge	9+1	T_e	1000, 2000, 3000		
			N_e	$10^{20}, 10^{21}, 10^{22}$		
			Emission	Range (eV)	Resolution (eV)	# of points
			Spectrum	1200-1900	$\Delta\varepsilon = 0.25$	2801
<u>Krypton</u>	Kr	9+2	T_e	1000, 3000, 5000		
			N_e	$10^{23}, 10^{24}, 10^{25}$		
			Emission	Range (eV)	Resolution (eV)	# of points
			Spectrum1	12600-13600	$\Delta\varepsilon = 0.5$	2001
			Spectrum2	14800-15600	$\Delta\varepsilon = 0.5$	1601
<u>Vanadium</u>	VW	36	T_e	2, 5, 10, 20, 50, 100		
			N_i	$5 \times 10^{21}, 5 \times 10^{22}, 5 \times 10^{23}$		
			$f_e @ T = 10 \text{ keV}$	$1 \times 10^{-3}, 1 \times 10^{-1}$		
			Emission	Range (eV)	Resolution (eV)	# of points
			Spectrum1	20-200	$\Delta\varepsilon = 0.2$	901
			Spectrum2	4500-6500	$\Delta\varepsilon = 0.5$	4001
<u>Vanadium</u>	VH	12 + 1	T_e	500, 1500, 2500		
			N_i	$2 \times 10^{19}, 5 \times 10^{21}$		
			Radius (cm)	1e-4, 1e-2		
			Emission	Range (eV)	Resolution (eV)	# of points
			Spectrum	4500-6500	$\Delta\varepsilon = 0.5$	4001
<u>Vanadium</u>	VTD	1	T_e	See case description		
			N_i	$N_e(t) = Z^*(t) \times N_i$, see case description for N_i		
			Emission	Range (eV)	Resolution (eV)	# of points
			Spectrum1	20-1500	$\Delta\varepsilon = 0.5$	3201
			Spectrum2	4500-6500	$\Delta\varepsilon = 0.5$	4001

Note that the Ge and Kr cases are specified for fixed electron densities, while all the V cases are specified for fixed ion densities. The VW case also asks for a hot electron distribution with a temperature of 10 keV with the total number density of these electrons specified as a fraction f_e of the ion density.

II. JUSTIFICATION OF CASES AND DETAILS

Each calculation shown in the preceding table will be referenced by a case name, which is to be given in the submission data file (described further below). The case name is constructed by appending a suffix to the Case_ID from the table. The suffix consists of between one and three digits. Steady-state Ge and Kr will have two digits, the first corresponding to the electron temperature and the second to the electron density. Steady-state V will have three digits corresponding consecutively to temperature, density, and length. Finally, the time-dependent cases will have a single digit corresponding to the given temperature evolution. Thus the Ge case with $T_e = 2000$ eV and $N_e = 10^{22}$ cm⁻³ will be referred to as **Ge23** and the second time-dependent V case will be referred to as **VTD2**. The additional cases listed for Ge, Kr and VH are fits to experimental spectra; details are below.

The submissions file should be named as <case>.<contributor_name>.<code_name>, so that Dr. A. Einstein's calculations with his code GToE for one of the cases would be in the file ge2311.einstein.gtoe (case insensitive).

1. Steady-state Ge

These cases aim to explore the collisional-radiative kinetics and the L-shell emission of a mid-Z element. Spanning a range of densities, these cases will also allow us to explore density effects such as line broadening and continuum lowering; we ask that participant use best-effort model representations of these effects.

While these 9 cases use a fixed temperature and density grid to explore model variations, we also invite participants to fit two experimental spectra posted on the workshop website. This spectra comes from a buried-layer experiment fielded at the OMEGA laser facility similar to that described in [Rev. Sci. Instrum. 89, 10F106 \(2018\)](#). The spectra include an instrumental broadening of ~ 4 eV (FWHM). Submissions for these additional cases that correspond to rather different plasma conditions should be designated **GeF1** and **GeF2**.

2. Steady-state Kr

These cases are designed to explore the collisional-radiative kinetics and the K-shell emission of a mid-Z element at high densities but relatively low temperatures. The conditions are representative of an imploded capsule in a NIF experiment in which Kr dopant has been added as

a dopant to provide diagnostic information. For these cases, the plasma consists of deuterium gas with Kr present at 0.01% atomic fraction.

Beyond the 9 cases specified by the temperature / density grid, 2 data sets from a recent NIF experiment, provided by Lan Gao of PPPL, will be posted on the workshop website. The first data set contains the time-resolved spectrum (with resolution of 25 ps) at peak emission, while the second data set contains a time-integrated spectrum, with the Kr emission predominantly occurring over a time interval of ~200 ps. Both data sets are absolutely calibrated and provide the appropriate units. They include an instrumental broadening of ~10 eV (FWHM). A detailed analysis of the data would involve comparisons to time-dependent simulations, as the plasma conditions are non-uniform, the density / temperature profiles change over this time frame, and the He- α lines have significant optical depth. However, analyses assuming constant conditions remain useful and are requested for these 2 additional cases. For this purpose, the plasma may be assumed to be a uniform sphere of radius 50 μm . Submissions for these cases should be designated KrF1 (for time-resolved) and KrF2 (for time-integrated).

3. Steady-state warm dense V (VW)

These cases aim to explore dense plasma physics in the warm dense matter regime, where strong coupling and degeneracy effects compete with thermal processes. Model variations investigating different continuum lowering and rate integration treatments that account for Pauli blocking are particularly welcome here, in particular submissions with and without density effects. While the hot electron distribution dramatically affects the emission spectra, it does not significantly affect the ionization balance, so submissions with dense plasma effects but without hot electrons are welcomed.

For these cases, we request absorption as well as emission spectra.

4. Steady-state hot dense V (VH)

These cases aim to explore the collisional-radiative kinetics and K-shell emission of a mid-Z element under a range of conditions representative of laboratory plasmas used for investigating radiative effects and radiation transport. Results are requested for conditions both with and without radiative trapping effects by specifying an extent of the plasma. The given extent should be interpreted as the depth of a slab which is infinite in the other dimensions.

The additional case here corresponds to two spectra, posted on the workshop website, provided by Gabriel Pérez-Callejo from the experiment described in [Phys. Plasmas 26, 063302 \(2019\)](#). The two spectra are from the same plasma but correspond to different views through the plasma with different optical depths. The spectra were recorded with an instrumental broadening of ~10-12 eV (FWHM). At the time of the spectra, the plasma was a cylinder with thickness 240 μm and radius ~180 μm , corresponding to a V ion density of $n_i \sim 2 \times 10^{19} \text{ cm}^{-3}$. The two views correspond to the face-on (spectrum 1) and side-on (spectrum 2) view. The objective here is to fit the two spectra simultaneously to diagnose the plasma temperature. Submission for this case should be

designated VHF and should provide two spectra. Note that while the experimental spectra are scaled to analyze the optical depth effects, the submitted spectra should use the designated units without scaling.

5. Time-dependent V (VTD)

This case is meant to investigate possible time-dependent effects in the V cases. Timesteps are not specified, but should be chosen to resolve the time evolution. Population and spectral output is requested for the initial conditions and after every 200 ps. The spectral output should be integrated over the whole time of the calculation up to the reported time and reported after the population output.

For this case, T_e varies linearly in time according to the following table and the ion density is given by $N_i = N_0 / (1 + t/0.1)^2$ where $N_0 = 1 \times 10^{21} \text{ cm}^{-3}$ and t here is measured in ns. The final time is 2 ns.

time (ns)	T_e (eV)
0.0	1.
0.4	200.
0.6	2000.
≥ 1.4	200.

III. SUBMISSION FILE DESCRIPTION

We are asking for a fairly large amount of information. To simplify the specification for the contributors we have adopted a keyword approach within the submissions file. In this approach, all quantities are space delimited. In Section IV, we give a schematic of the file format. For clarity we will use the `courier` font to indicate the keywords (the actual submissions should be unformatted plain ASCII text). The user-supplied data that are problem-dependent are indicated by a **bold-face** parameter name in brackets (e.g., `<pop_frac>`). We anticipate that not all information will be provided by every user. However, since the information is space delimited, and not fixed in a particular column, then *some* value must be given for each field. The best default value is to put a zero. The longer records, such as the `ion` and `elev` lines, may continue over several lines at the contributor's discretion. Do not break a line in the middle of a keyword or a number. Blank lines may be used anywhere within the file to make the text more readable. While some of the names we use suggest integer quantities, please use decimal values if appropriate to your calculation. For floating point numbers, an `e11.4` format is generally adequate although for level energies a high accuracy may be necessary. The exact definitions of the quantities requested, including units, are given in Section V.

The submissions file is structured in 5 sections. These sections are identified by keywords. In some cases, an integer follows the section keyword to indicate the number of records which

follow for that section. Some codes will not be able to provide information for every section. Thus, an entire section may be omitted. If all information is provided, then there will be a certain amount of redundancy. This redundancy is intentional and has at least two uses. First, it can be used to detect errors in the file formatting. Second, it is often possible to compute overall quantities more accurately internal to the kinetics code than by post-processing the results.

The *initial section* provides general problem identification information. This section begins with the keyword `data`.

The *second section* gives overall quantities describing the plasma population and energy distribution. This section is signaled by the keyword `summary_quantities`. Note there are no spaces in the keywords.

The *third section* gives information by ionization stage. This section is signaled by the keyword `ion_stages`. Within this section, information for each ionization stage begins with the keyword `ion`. As mentioned above, multiple lines may be used if desired (we intentionally used multiple lines in the schematic file listing below to improve its readability). Important note: we use `<Nbound>`, the number of bound electrons, *not* the ion stage charge, to label the ion stages.

The *fourth section* gives information by energy level (keyword `energy_levels`). Since many codes employ some form of continuum lowering and/or moving calculational windows, we require that energy level definitions be provided for every case. The shell occupation numbers (`<occK>`, `<occL>` etc.) as defined for each `elev` record will be used to compare codes for the cross-over from a ladder-like de-excitation regime to one which is in Saha-Boltzmann equilibrium with the continuum.

Finally, the *fifth section* contains calculated spectral characteristics.

A relational database tool will be used to manage the data during the course of the workshop.

If necessary, additional clarification regarding the submission format will be provided at the Workshop's web site.

IV. SUBMISSION FILE FORMAT

The text that follows is a schematic of a submissions file:

data	<user comment...>
case	<case_id>
code	<name>
atom	<name> <Znuc>
calctime	<CPU> <human>
summary_quantities	
plasma	<Te> <Ne>
time	<time>
zbar	<zbar>
m2	<2nd central moment>
m3	<3rd central moment>
eint	<internal_energy>
deintdt	<dE _{int} /dT _e >
pfn	<partition_fn>
nmax_eff	<n_value>
ploss	<P _{bb} > <P _{bf} > <P _{fr} > <P _{total} >
ion_stages	
ion	<count>
	<N _{bound} > <pop_frac> <nouter>
	<S _{tot} > <f_S _{coll} > <f_S _{photo} > <f_S _{auto} >
	<α _{tot} > <f_α _{coll} > <f_α _{photo} > <f_α _{auto} >
...	
ion	<count>
	<N _{bound} > <pop_frac> <nouter>
	<S _{tot} > <f_S _{coll} > <f_S _{photo} > <f_S _{auto} >
	<α _{tot} > <f_α _{coll} > <f_α _{photo} > <f_α _{auto} >
energy_levels	
elev	<count>
	<N _{bound} > <level> <stwt> <energy> <population>
	<Γ _{tot} > <f_Γ _{collbb} > <f_Γ _{photobb} > <f_Γ _{collbf} > <f_Γ _{photobf} > <f_Γ _{auto} >
	<Θ _{tot} > <f_Θ _{collbb} > <f_Θ _{photobb} > <f_Θ _{collbf} > <f_Θ _{photobf} > <f_Θ _{auto} >
	<occK> <occL> <occM> ... <nouter>
...	
elev	<count>
	<N _{bound} > <level> <stwt> <energy> <population>
	<Γ _{tot} > <f_Γ _{collbb} > <f_Γ _{photobb} > <f_Γ _{collbf} > <f_Γ _{photobf} > <f_Γ _{auto} >
	<Θ _{tot} > <f_Θ _{collbb} > <f_Θ _{photobb} > <f_Θ _{collbf} > <f_Θ _{photobf} > <f_Θ _{auto} >
	<occK> <occL> <occM> ... <nouter>
...	
...	

Spectrum Output

For the cases where we request spectra, the spectral information will be given in this same text file, following the information above. Note that all spectra are requested on an energy grid in format:

spectrum	<case>	<count ₁ >		
<energy1>	<ε _{bb1} >	<ε _{bf1} >	<ε _{ff1} >	<ε _{tot1} >
<energy2>	<ε _{bb2} >	<ε _{bf2} >	<ε _{ff2} >	<ε _{tot2} >
.....				
<energy N>	<ε _{bbN} >	<ε _{bfN} >	<ε _{ffN} >	<ε _{totN} >

where energies are in eV and emission spectra ϵ for bound-bound (bb), bound-free (bf), and free-free (ff) transitions are in J/s/cm³/eV for steady-state Ge and Kr, J/s/cm²/eV for V, and J/cm³/eV for time-dependent V.

V. DEFINITIONS OF REQUESTED QUANTITIES

Before proceeding to a detailed description of the requested quantities, we would like to comment on the ion density. In absence of heavy-particle interactions, the influence of ion density would mostly be exposed through the ionization potential lowering. To provide a description of N_i , for all cases the electron and ion densities are to be related via the plasma neutrality condition, i.e., $N_i = N_e/Z$. **Note that for the Kr cases, neutrality holds when including both elements which are present.**

In *section 1*, the identification section, the following quantities are requested:

data	Calculation identifier and user comment line. Comment should be limited to this one line only and should include the contributor's name, institution, the version of the code, and the date at which calculation was run. This can be invaluable in maintaining order in large number of submissions.
case	All calculations will have a case identification of the form Ge23 or the like (see Section III). These identifiers are assigned in the section below where the specific calculations are called out.
code	An identifier for each contributor's code which may be chosen by the contributors. For convenience in post-processing and tabulation the names should not be excessively long. The names will be used in all tables and graphs of comparisons, and must be the same from case to case.
atom	Identifies the atom under study. The field <name> is a convenience for the contributor. In many cases, calculations are driven by atomic data found in a file. The file <name> may be used to specify that name. The field <Znuc> is the nuclear charge of the atom.
calctime	Provides information on the CPU time (computer) and total time (human) spent on calculation of this particular case.

In *section 2*, the `summary_quantities` section, the following items are requested:

plasma	This record specifies the plasma conditions used in this calculation. The electron temperature is in units of eV. The electron density is in units of cm ⁻³ .
time	A value (e.g., zero) for steady-state cases.
zbar	Average charge of the plasma.
m2	Second central moment of the charge state distribution.

m3	Third central moment of the charge state distribution.
eint	Specific internal energy of the atom.
pfm	Partition function of the atom.
nmax_eff	For this calculation, the principal quantum number of the outermost electron in any bound state. We will be interested in sensitivity of comparison quantities to the highest bound states accounted for by the model. This quantity will also be used as a measure of continuum lowering.
ploss	The radiative power losses: bound-bound, bound-free, free-free, and total. Units: J/sec/cm³ .

The **central moments** are defined as:

$$m_N = \sum_j y_j (q_j - \bar{Z})^N,$$

where y_j is the fractional population of ion stage j , q_j is the ion charge, and \bar{Z} is the average charge.

The **specific internal energy** is the sum of level populations, n_j , multiplied by their energy value, E_j , divided by the total ion density N_i :

$$E_{\text{int}} = \sum_j \frac{E_j n_j}{N_i}.$$

The energy reference is the ground state of the neutral atom. We recognize that a kinetics model may not include all ionization stages of the atom – the ground state of the most neutral ion is the most reasonable substitute. For intercomparisons, this quantity will likely need zero point shifts. Units are eV/atom.

The **specific heat** is the derivative with respect to electron temperature of the specific internal energy of the atom. Units are eV/atom/eV. If computed by finite difference, the step size is to be chosen by the contributor.

The **partition function** is defined as the classical partition function:

$$Q = \sum_j g_j \exp(-E_j/T_e),$$

where g_j is the statistical weight of level j and E_j is the energy of the level, with respect to the ground state of the most neutral ion.

The total **power loss** is the most important quantity, so that if one has difficulties separating different contributions, then it would suffice to have zeros in fields other than $\langle \mathbf{P}_{\text{total}} \rangle$.

Note that many of the "thermodynamic" quantities are intentionally sensitive to continuum lowering models. Quantities possibly affected are $\langle \mathbf{eint} \rangle$ and $\langle \mathbf{pfn} \rangle$. If your continuum lowering model alters the energy levels or statistical weights, please include these effects in the appropriate "thermodynamic" quantities.

High-lying bound states can be included in the population kinetics in a variety of ways. The field `nmax_eff` is intended to give information on the highest-lying bound state, which is affecting the calculation of the populations. It is thus an "effective" principal quantum number. If a code includes a level, which accounts for more than one n value, then for this field we recommend giving the *largest* value that is being modeled.

In *section 3*, the `ion_stages` section, the following quantities are requested:

- $\langle \mathbf{N}_{\text{bound}} \rangle$ The number of bound electrons in this ionization stage.
- $\langle \mathbf{pop_frac} \rangle$ The fraction of atoms in this ionization stage. Sum over all ions should be normalized to unity.
- $\langle \mathbf{nouter} \rangle$ The principal quantum number of the outermost electron for any state in this ion stage.
- $\langle \mathbf{S}_{\text{tot}} \rangle$ The total (effective) ionization rate out of this ion stage, averaged over all initial states in this ion stage (weighted by the fractional populations of the initial states), and summed over all final states. This quantity is further summed over all ionization processes.
- $\langle \mathbf{f_S}_{\text{coll}} \rangle$ The fractional contribution of electron collisional ionization processes to $\langle \mathbf{S}_{\text{tot}} \rangle$.
- $\langle \mathbf{f_S}_{\text{photo}} \rangle$ The fractional contribution of photo-ionization processes to \mathbf{S}_{tot} .
- $\langle \mathbf{f_S}_{\text{auto}} \rangle$ The fractional contribution of auto-ionization processes to $\langle \mathbf{S}_{\text{tot}} \rangle$.
- $\langle \mathbf{\alpha}_{\text{tot}} \rangle$ The total (effective) recombination rate out of this ion stage, averaged over all initial states in this ion stage (weighted by the fractional populations of the initial states), and summed over all final states. This quantity is further summed over all recombination processes.
- $\langle \mathbf{f_}\alpha_{\text{coll}} \rangle$ The fractional contribution of three-body recombination to the total $\langle \mathbf{\alpha}_{\text{tot}} \rangle$.
- $\langle \mathbf{f_}\alpha_{\text{photo}} \rangle$ The fractional contribution of radiative-recombination to the total $\langle \mathbf{\alpha}_{\text{tot}} \rangle$.
- $\langle \mathbf{f_}\alpha_{\text{auto}} \rangle$ The fractional contribution of dielectronic capture processes to the total $\langle \mathbf{\alpha}_{\text{tot}} \rangle$.

We note that the total effective ionization and recombination rates are rates, and not rate coefficients. It is also important to be precise about the direction of these total rates. $\langle \mathbf{S}_{\text{tot}} \rangle$ is the total effective rate out of the indicated ion into the more ionized ion. Similarly, $\langle \mathbf{\alpha}_{\text{tot}} \rangle$ is the total effective rate out of the indicated ion into the less ionized ion.

The definitions of \mathbf{S}_{tot} and $\boldsymbol{\alpha}_{\text{tot}}$ are best clarified through an example. Consider a three-ion stage problem consisting of levels in Li-like, He-like, and H-like ions. For the He-like ion, \mathbf{S}_{tot} is the sum of all ionization rates *out* of He-like, weighted by the appropriate He-like initial state populations, and summed over all final states in the H-like ion. The averaging over initial states is completed by dividing the above sum by the total population of the He-like ion. $\boldsymbol{\alpha}_{\text{tot}}$ for the He-like ion is the sum of all recombination rates out of He-like, weighted by the appropriate He-like initial state populations, and summed over all final states in the Li-like ion. The averaging over initial states is completed by dividing the above sum by the total population of the He-like ion. With these definitions, we can define a set of ionization rate equations. In the case of the He-like ion, we write:

$$\frac{dn(\text{He})}{dt} = \alpha_{\text{tot}}(\text{H})n(\text{H}) - [\alpha_{\text{tot}}(\text{He}) + S_{\text{tot}}(\text{He})]n(\text{He}) + S_{\text{tot}}(\text{Li})n(\text{Li}).$$

Units of $\langle \mathbf{S}_{\text{tot}} \rangle$ and $\langle \boldsymbol{\alpha}_{\text{tot}} \rangle$ are 1/sec.

In *section 4*, the `energy_levels` section, the following quantities are requested:

- <Nbound>** Identifies the ionization stage to which this energy level belongs. As always, this quantity is the number of bound electrons in the level.
- <level>** A sequential level number within this ionization stage. This index begins at 1 within each ionization stage for use as a label in model comparisons. The ground state of each ion stage will be identified by locating the state of lowest energy within the ion stage.
- <stwt>** The statistical weight of this energy level.
- <energy>** The energy of the level relative to the overall model. Ionization potentials will be obtained by subtraction of successive ground state energies. Units are in eV. The overall energy reference is the ground state of the most neutral ion in the problem.
- <population>** The **normalized** ion density of this level. *Sum of all level populations over all ions is unity.*
- < Γ_{tot} >** The **total population flux out** of this level. Units are 1/sec.
- <f_ Γ_{collBB} >** The *fractional* contribution of electron collision excitation/de-excitation processes to $\langle \Gamma_{\text{tot}} \rangle$.
- <f_ Γ_{photoBB} >** The *fractional* contribution of bound-bound radiation processes to $\langle \Gamma_{\text{tot}} \rangle$.
- <f_ Γ_{collBF} >** The *fractional* contribution of electron collision ionization-recombination processes to $\langle \Gamma_{\text{tot}} \rangle$.
- <f_ Γ_{photoBF} >** The *fractional* contribution of photo-ionization-recombination to $\langle \Gamma_{\text{tot}} \rangle$.
- <f_ Γ_{auto} >** The *fractional* contribution of auto-ionization/dielectronic recombination processes to $\langle \Gamma_{\text{tot}} \rangle$.

<Θ_{tot}>	The total population flux into this level. For steady-state condition $\langle \Theta_{\text{tot}} \rangle = -\langle \Gamma_{\text{tot}} \rangle$. Units are 1/sec.
<f_Θ_{collBB}>	The <i>fractional</i> contribution of electron collision excitation/de-excitation processes to $\langle \Theta_{\text{tot}} \rangle$.
<f_Θ_{photoBB}>	The <i>fractional</i> contribution of bound-bound radiation processes to $\langle \Theta_{\text{tot}} \rangle$.
<f_Θ_{collBF}>	The <i>fractional</i> contribution of electron collision ionization-recombination processes to $\langle \Theta_{\text{tot}} \rangle$.
<f_Θ_{photoBF}>	The <i>fractional</i> contribution of photo-ionization-recombination to $\langle \Theta_{\text{tot}} \rangle$.
<f_Θ_{auto}>	The <i>fractional</i> contribution of auto-ionization/dielectronic recombination processes to $\langle \Theta_{\text{tot}} \rangle$.
<occK>	Occupation number: for this energy level, the number of electrons in the K shell. Users of configuration interaction codes might wish to use the dominant configuration to assign this value.
<occL>	The number of electrons in the L shell.
...	
<nouter>	The principal quantum number of the outermost electron in that energy level.

Parameter Γ describes all processes originating from a particular level while Θ describes all processes ending on this level. The population flux is defined as a product of the population by the corresponding rate, so that, for instance, the total Θ for a level i is:

$$\Theta_i = \sum_j POP_j \times R_{ij} ,$$

where POP_j is the population of level j and R_{ij} is the rate of a physical process originating in level j and ending in level i (e.g., probability for a radiative transition from the upper level j into the lower level i).

The shell occupation numbers (**<occK>**, **<occL>**, etc.) could be variable in number for each code, plasma condition, and energy level. Contributors are not constrained on this point: they may specify as many shells as necessary, and as relevant to their calculational approach. The final entry for this energy level record should be the principal quantum number of the outermost electron in that level. In the case of highly-excited levels, the shell occupation numbers may be simplified by only specifying the core, **<Nbound>**-1, electrons. In this case the field **<nouter>** will be used to set the location of the remaining electron. We will be using the values given in this section to compute some of the quantities given in section 2 for consistency checks.

In *section 5*, the `spectrum` section, the data requested are summarized below:

Ge, Kr

x-axis: energy (in eV),
 spectrum units: J/s/cm³/eV;

VW

x-axis: energy (in eV),
 emission units: J/s/cm³/eV;
 absorption units: 1/cm;

VH, KrF

x-axis: energy (in eV),
 spectrum units: J/s/cm²/eV;

VTD

x-axis: energy (in eV),
 spectrum units: J/cm³/eV;

The spectrum for the present optically thin cases is requested per unit volume. The spectrum for the VH cases is requested per unit area for a line of sight normal to the surface of a semi-infinite slab with the given thickness. Note that the bonus VH case requests two spectra for different lines of sight through the same plasma. The spectrum for the KrF case is requested per unit area for a line of sight normal to the surface of a sphere with the given radius. The spectrum for the time-dependent cases is the time-integral of emission over the whole calculation.

The required data are bound-bound $\langle \epsilon_{bb} \rangle$, free-bound $\langle \epsilon_{fb} \rangle$, free-free $\langle \epsilon_{ff} \rangle$ and total $\langle \epsilon_{tot} \rangle$ spectra. The field `<count>` specifies the number of (*energy, spectra*) rows which follow.

For the VW cases, the emission spectrum should be followed by the absorption spectrum with data bound-bound $\langle \kappa_{bb} \rangle$, bound-free $\langle \kappa_{bf} \rangle$, free-free $\langle \kappa_{ff} \rangle$ and total $\langle \kappa_{tot} \rangle$ absorption coefficients.

The line broadening should be best-effort, especially for the Ge and Kr cases, including at least Doppler + natural broadening but also (if possible) collisional and Stark effects.

Note that spectra provided for matching in the KrF case carry different units than those listed above. In addition, they are integrated over the projected area of the sphere, but not over solid angle. In the absence of optical depth (and unit conversions plus time integration), the requested spectrum should be equivalent to the quantity provided when multiplied by $0.125 R^2$.

Example of a spectrum file:

```
...
spectrum    Ge12      2801
1200.00     1.000e-15    1.000e-16    2.500e-15    3.600e-15
1200.25     2.000e-15    2.000e-16    5.000e-15    7.200e-15
...
1900.00     1.000e-15    1.000e-16    2.500e-15    3.600e-15
```

For cases which require multiple spectra, sections should be labeled spectrum1, spectrum2, ..., as in the following example:

```
...
spectrum1   Kr23      2001
12600.0     1.000e-15    1.000e-16    2.500e-15    3.600e-15
12600.5     2.000e-15    2.000e-16    5.000e-15    7.200e-15
...
13600.0     1.000e-15    1.000e-16    2.500e-15    3.600e-15

spectrum2   Kr23      21601
14800.0     1.000e-15    1.000e-16    2.500e-15    3.600e-15
14800.5     2.000e-15    2.000e-16    5.000e-15    7.200e-15
...
15600.0     1.000e-15    1.000e-16    2.500e-15    3.600e-15
```

For cases which require emission and absorption spectra, the emission spectra should be labeled **spectrum** (or **spectrum1** and **spectrum2**) while the absorption spectra should be labeled **absorption** (or **absorption1** and **absorption2**).